

UNITED STATES
PATENT AND
TRADEMARK OFFICE

DECEMBER 03, 2001

PTAS

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.govLYON & LYON LLP
MICHAEL J. WISE
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071-2066

101862187A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/24/2001

REEL/FRAME: 012198/0968
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
UNIVERSITY OF MEDICINE

DOC DATE: 08/23/2001

ASSIGNOR:
DENTISTRY OF NEW JERSEY

DOC DATE: 08/07/2001

ASSIGNEE:
UNIVERSITY OF MEDICINE
P.O. BOX 2688
NEW BRUNSWICK, NEW JERSEY
08903-
2688ASSIGNEE:
DENTISTRY OF NEW JERSEY
335 GEORGE STREET, SUITE 3200
NEW BRUNSWICK, NEW JERSEY 08901SERIAL NUMBER: 09747429
PATENT NUMBER:FILING DATE: 12/23/2000
ISSUE DATE:

RECEIVED

DEC 11 2001

U.S. PROSECUTION

08/19/04 08:56 FAX 856 810 1454

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011

08/01/04 18:22 FAX 856 810 1454

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010

012198/0968 PAGE 2

SERIAL NUMBER: 60171970
PATENT NUMBER:

204/170
FILING DATE: 12/23/1999
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1585
1-31-92

Docket No. 266/169US

To the Honorable Commissioner of Patents & Trademarks

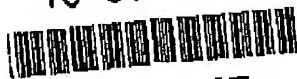
1. Name of conveying party(ies):
University of Medicine & Dentistry of New Jersey
Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: 8/23/01 & 8/7/01

10-01-2001



101862187

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Please record the attached original documents or copy thereof.

2. Name and address of receiving party:

Name: University of Medicine & Dentistry of New Jersey

Internal Address: P.O. Box 2688

City: New Brunswick State: NJ Zip: 08903-2688

Street Address: 335 George Street, Suite 3200

City: New Brunswick State: NJ Zip: 08901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: -----

A. Patent Application No(s): 08/747,429

B. Patent No(s): -----

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Michael J. Wise

Internal Address: LYON & LYON LLP
833 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2068

6. Total number of applications and patents involved: -----

7. Total fee (37 CFR 3.41): \$ 80.00
☒ Enclosed

☒ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Wise, Reg. No. 34,047

Date: 9/12/01

Total number of pages including cover sheet: 5

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Box Assignments

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Patent
Attorney Docket No. 266/169US

Continuation of Recordation Form Cover Sheet
For
University of Medicine & Dentistry of New Jersey
Assignment

Additional number(s) or patent number(s) for Section 4A:

Patent Application No(s)

Attorney Docket No(s)

60/171,970

266/170

page 2 of 2

LA-209215.1

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014

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013

Practitioner's Docket No. 13257-00030

PATENT

For: U.S. Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION



in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Pranela Rameshwar
317 Lexington Avenue
Maplewood, NJ 07040

US
Nationality: US

Pedro Gascon
Azibau 161, pral 1
Barcelona, 08036

Spain
Nationality: US

hereby sell, assign and transfer to

ASSIGNEE:

University of Medicine & Dentistry
of New Jersey

Liberty Plaza
335 George Street
New Brunswick, NJ 08903
US

Nationality: US

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

A Human Preprotachykinin Gene Promoter

617865.1 7/2001

and which is found in (37 C.F.R. section 3.21) U.S. patent application Serial No.: 09/747,429, filed on December 23, 2000, and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 7 day of

August, 2001.

Date:

7/7/01

Paula Rameshwar
Signature of Pranela Rameshwar

Date:

Signature of Pedro Gascon

12/1/01

Date: August 23, 2001

Pedro Gascon
Signed by: Pedro Gascon

OPTIONAL FORM 175
MAY 1975
U.S. DEPT. OF STATE
5010-101

Certificate of Acknowledgment of Execution of an Instrument

The Kingdom of Spain
(Country)
Province of Barcelona
(County and/or other political division)
City of Barcelona
(County and/or other political division)
Consulate General of the
United States of America
(Name of foreign service office)

SS:

I, Marc J. Mezner Consul

of the United States of America at Barcelona, Spain

duly commissioned and qualified, do hereby certify that on this 23rd

day of August, 2001, before me personally appeared Pedro GASCON
(DATE)

to me personally known, and known to me to be the individual—described in, whose
name he subscribed to, and who executed the annexed instrument, and being
informed by me of the contents of said instrument he duly acknowledged to me
that he executed the same freely and voluntarily for the uses and purposes therein
mentioned.

[SEAL]

In witness whereof I have hereunto set my hand and

official seal the day and year last above written.

Marc J. Mezner

Consul of the United States of America

NOTE.—Wherever practicable all signatures to a document should be included in one certificate. *U.S.GPO:1980-0-311-151/2270

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Patent
Attorney Docket No. 266/169US

STATEMENT OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Date of Deposit

Miyabi Grace
Name of Person Mailing Paper
Miyabi Grace
Signature of Person Mailing Paper

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LICATA & TYRRELL

017

Practitioner's Docket No. 13257-00030

PATENT

For: U.S. Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION



consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Pranela Rameshwar
317 Lexington Avenue
Maplewood, NJ 07040

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Nationality: US

Pedro Gascon
Aribau 161, pral 1
Barcelona, 08036

Spain
Nationality: US

hereby sell, assign and transfer to

ASSIGNEE:

University of Medicine & Dentistry
of New Jersey

Liberty Plaza
335 George Street
New Brunswick, NJ 08903
US

Nationality: US

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

A Human Preprotachykinin Gene Promoter

417850.1 7/25/01

and which is found in (37 C.F.R. section 3.21) U.S. patent application Serial No.: 09/747,429, filed on December 23, 2000, and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

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IN WITNESS WHEREOF, We have hereunto set hand and seal this 2 day of

August, 2001.

Date:

8/7/01

Pranla Rameshwar
Signature of Pranla Rameshwar

Date:

Signature of Pedro Gascon

12/17/04

[Signature]

Date: August 23, 2001

[Signature]

Signed by: Pedro Gascon

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(FORMERLY FS-28)
MARCH 1975
DEPT. OF STATE
50175-101

Certificate of Acknowledgment of Execution of an Instrument

The Kingdom of Spain
(Country)

Province of Barcelona
(Country and/or other political division)

City of Barcelona
(Country and/or other political division)

Consulate General of the
United States of America
(Name of foreign service office)

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I, Marc J. Meznar Consul

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(DATE)

to me personally known, and known to me to be the individual—described in, whose
name he subscribed to, and who executed the annexed instrument, and being
informed by me of the contents of said instrument, he duly acknowledged to me
that he executed the same freely and voluntarily for the uses and purposes therein
mentioned.

[SEAL]

In witness whereof I have hereunto set my hand and
official seal the day and year last above written.

Marc J. Meznar

Consul of the United States of America

NOTE:—Wherever practicable all signatures to a document should be included in one certificate. • U.S.G.P.C. 1320-G-311-163/5275